

BTXN 049 (rev. 03/15)

UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF TEXAS

In Re:  
Highland Capital Management, L.P.

The Dugaboy Investment Trust

vs.

Highland Capital Management, L.P., et al

Debtor(s)

Appellant(s)

Appellee(s)

Case No.: 19-34054-sgj11

Chapter No.: 11

**NOTICE OF TRANSMITTAL**

I am transmitting:

- ☐ The Motion for leave to Appeal 28 U.S.C. § (USDC Civil Action No. DNC Case).
- ☐ The Motion for Stay Pending Appeal (USDC Action No. – DNC Case).
- ☐ The Proposed Findings of Fact and Conclusions of Law.
- ☐ The Motion to Extend Time To File Designation (USDC Civil Action No DNC Case).
- ☐ On , the Record on Appeal was transmitted. The designation of record or item(s) designated by were not filed when the record was transmitted. The item(s) were filed on awaiting instructions from the assigned district judge.
- ☒ Other Filing fee has been paid at the Bankruptcy Court
- ☒ Copies of: Notice of Appeal and related documents

**TO ALL ATTORNEYS:** File all subsequent papers captioned and numbered with the appropriate division of the United States District Clerk's Office. Any questions concerning this proceeding should be directed to the U.S. District Clerk's Office at (214) 753-2200.

DATED: 8/5/25

FOR THE COURT:  
Stephen J Manz, Clerk of Court

by: /s/Jeanette Almaraz, Deputy Clerk



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BTXN 150 (rev. 11/10)

In Re:  
Highland Capital Management, L.P.

Debtor(s)

§  
§  
§  
§

Case No.: 19-34054-sgj11  
Chapter No.: 11

## CIVIL CASE COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet.

### I. (a) APPELLANT

The Dugaboy Investment Trust

(b) County of Residence of First Listed Party:  
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorney's (Firm Name, Address, and Telephone Number)

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### II. BASIS OF JURISDICTION

|                                                   |                                                   |                                                                                   |                                                                                 |
|---------------------------------------------------|---------------------------------------------------|-----------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
| <input type="radio"/> 1 U.S. Government Plaintiff | <input type="radio"/> 2 U.S. Government Defendant | <input checked="" type="radio"/> 3 Federal Question (U.S. Government Not a Party) | <input type="radio"/> 4 Diversity (Indicate Citizenship of Parties in Item III) |
|---------------------------------------------------|---------------------------------------------------|-----------------------------------------------------------------------------------|---------------------------------------------------------------------------------|

### III. CITIZENSHIP OF PRINCIPAL PARTIES

|                                         |                         |                         |                                                               |                         |                         |
|-----------------------------------------|-------------------------|-------------------------|---------------------------------------------------------------|-------------------------|-------------------------|
| Citizen of This State                   | <input type="radio"/> 1 | <input type="radio"/> 1 | Incorporated or Principal Place of Business In This State     | <input type="radio"/> 4 | <input type="radio"/> 4 |
| Citizen of Another State                | <input type="radio"/> 2 | <input type="radio"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="radio"/> 5 | <input type="radio"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="radio"/> 3 | <input type="radio"/> 3 | Foreign Nation                                                | <input type="radio"/> 6 | <input type="radio"/> 6 |

IV. NATURE OF SUIT

- ☒ 422 Appeal 28 USC 158 ☐ 423 Withdrawal 28 USC 157 ☐ 890 Other Statutory Actions

V. ORIGIN

- ☒ 1 Original Proceeding ☐ 2 Removed from State Court ☐ 3 Remanded from Appellate Court ☐ 4 Reinstated or Reopened  
☐ 5 Transferred from another district ☐ 6 Multidistrict Litigation ☐ 7 Appeal to District Judge from Magistrate Judgment

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (**Do not cite jurisdictional statutes unless diversity**):

422 Appeal 28 USC 158

Brief description of cause:

Notice of Appeal on Memorandum of Opinion and Order Regarding Stay Requests (Addressing DE #4326 and 4308) entered on 7/21/25

VII. REQUESTED IN COMPLAINT:

☐ CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23 DEMAND \$ CHECK YES only if demanded in complaint:  
JURY DEMAND: ☐ Yes ☐ No

VIII. RELATED CASE(S) IF ANY

Judge:

Docket Number:

DATED: 8/5/25

FOR THE COURT:  
Stephen J Manz, Clerk of Court  
by: /s/Jeanette Almaraz, Deputy Clerk

UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF TEXAS

**APPEAL SERVICE LIST**

**Transmission of the Record**

BK Case No.: 19-34054-sgi11

Received in District Court by: \_\_\_\_\_

Date: \_\_\_\_\_

Volume Number(s): \_\_\_\_\_

cc: Stacey G Jernigan  
Courtney Lauer  
Michael Edmond  
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