

In re:
Highland Capital Management, L.P.
Debtor

Case No. 19-34054-111
Docket #4580 Date Filed: 04/29/2026
Chapter 11

CERTIFICATE OF NOTICE

District/off: 0539-3
Date Rcvd: Apr 27, 2026

User: admin
Form ID: pdf012

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The following symbols are used throughout this certificate:

Symbol	Definition
+	Addresses marked '+' were corrected by inserting the ZIP, adding the last four digits to complete the zip +4, or replacing an incorrect ZIP. USPS regulations require that automation-compatible mail display the correct ZIP.

Notice by first class mail was sent to the following persons/entities by the Bankruptcy Noticing Center on Apr 29, 2026:

Recip ID	Recipient Name and Address
aty	+ Alan J. Kornfeld, Pachulski Stang Ziehl & Jones LLPL, 10100 Santa Monica Blvd., 13 Fl, Los Angeles, CA 90067-4003
aty	+ Elissa A. Wagner, Pachulski Stang Ziehl & Jones LLP, 10100 Santa Monica Blvd., 13th Floor, Los Angeles, CA 90067-4003
aty	+ Ira D Kharasch, 10100 Santa Monica Boulevard, 13th Floor, Los Angeles, CA 90067-4003
aty	+ James E. O'Neill, Pachulski Stang Ziehl & Jones LLP, 919 North Market Street, 17th Fl., Wilmington, DE 19801-3034
aty	+ Jeffrey N. Pomerantz, Pachulski Stang Ziehl & Jones LLP, 10100 Santa Monica Blvd., 13th Floor, Los Angeles, CA 90067-4003
aty	+ Kenneth H. Brown, Pachulski Stang Ziehl & Jones LLP, 150 California Street, 15th Floor, San Francisco, CA 94111-4554
aty	+ Maxim B Litvak, Pachulski Stang Ziehl & Jones LLP, 150 California Street, 15th Floor, San Francisco, CA 94111-4554
aty	+ Michael R. Nestor, YOUNG CONAWAY STARGATT & TAYLOR, LL, Rodney Square, 1000 North King Street, Wilmington, DE 19801-3335
aty	+ Richard B. Levin, Jenner & Block LLP, 919 Third Avenue, New York, NY 10022-3902
aty	+ Terri L. Mascherin, Jenner & Block LLP, 353 N. Clark Street, Chicago, IL 60654-5474

TOTAL: 10

Notice by electronic transmission was sent to the following persons/entities by the Bankruptcy Noticing Center.
Electronic transmission includes sending notices via email (Email/text and Email/PDF), and electronic data interchange (EDI).

NONE

BYPASSED RECIPIENTS

The following addresses were not sent this bankruptcy notice due to an undeliverable address, *duplicate of an address listed above, *P duplicate of a preferred address, or ## out of date forwarding orders with USPS.

Recip ID	Bypass Reason	Name and Address
aty	*+	Jeffrey N. Pomerantz, Pachulski Stang Ziehl & Jones LLP, 10100 Santa Monica Blvd., 13th Floor, Los Angeles, CA 90067-4003

TOTAL: 0 Undeliverable, 1 Duplicate, 0 Out of date forwarding address

NOTICE CERTIFICATION

I, Gustava Winters, declare under the penalty of perjury that I have sent the attached document to the above listed entities in the manner shown, and prepared the Certificate of Notice and that it is true and correct to the best of my information and belief.

Meeting of Creditor Notices only (Official Form 309): Pursuant to Fed .R. Bank. P.2002(a)(1), a notice containing the complete Social Security Number (SSN) of the debtor(s) was furnished to all parties listed. This official court copy contains the redacted SSN as required by the bankruptcy rules and the Judiciary's privacy policies.

Date: Apr 29, 2026

Signature: /s/Gustava Winters

CM/ECF NOTICE OF ELECTRONIC FILING

The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system on April 27, 2026 at the address(es) listed below:

Name	Email Address
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193405426042900000000009

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THE DATE OF ENTRY IS ON
THE COURT'S DOCKET

The following constitutes the ruling of the court and has the force and effect therein described.

Signed April 27, 2026

United States Bankruptcy Judge

IN THE UNITED STATES BANKRUPTCY COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION

In re: §
§
HIGHLAND CAPITAL § Case No. 19-34054-sgj11
MANAGEMENT, L.P., §
§
Debtor. §

**ORDER ON MOTION FOR PROTECTIVE ORDER
AND MOTION TO QUASH**

On April 24, 2026, the Court commenced a hearing to consider *Highland Capital Management, L.P.*'s ("Highland Capital") *Motion for a Protective Order Against the Dugaboy Investment Trust's Discovery Requests* (the "Motion for Protective Order") [Dkt. No. 4533],¹ a *Motion to Quash Requests for Production of Documents Served Improperly* (the "Motion to Quash") [Dkt. No. 4538] filed by CLO Holdco, Ltd., Shields Legal Group, P.C., and Kelly Hart & Hallman LP, and the joinders, responses, and supplements to each [Dkt Nos. 4540, 4549, 4550, 4551, 4554, 4555, 4558].

¹ All "Dkt. No." references herein are to the above-captioned case.

Highland Capital's Motion for Protective Order asks the Court to enter an order prohibiting The Dugaboy Investment Trust ("Dugaboy") "from seeking, propounding, serving, or otherwise pursuing any discovery in connection with its pending" *Motion for Relief from Order and Motion to Vacate* (the "Rule 60(b) Motion").²

The Motion to Quash seeks entry of an order relieving certain third parties of their obligation to respond to subpoenas that were initially served to counsel by email. Since the Motion to Quash was filed, the complained-of subpoenas were personally served on individuals, allegedly curing any service defects. Dkt. No. 4550. The production date in the subpoenas, however, post-dates the discovery deadline, *see* Dkt. No. 4546, *and* the hearing on the Rule 60(b) Motion. Further, the parties pursuing the Motion to Quash join the Motion for Protective Order. Dkt Nos. 4549, 4554, 4558.

Based on the arguments of counsel at the April 24 hearing, the Court finds that Dugaboy is entitled to limited discovery in connection with the Rule 60(b) Motion. Further, the Motion to Quash is meritorious. It is, therefore

ORDERED that the Motion for Protective Order is granted in part and denied part; it is further

ORDERED that Dugaboy's receipt of discovery related to the Rule 60(b) Motion is limited to the following:

1. Highland Capital must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to Mark Patrick's authority to

² The Rule 60(b) Motion is filed at Dkt No. 4513.

sign the *Settlement Agreement & General Release* [Dkt. No. 4217-1] on behalf of Hunter Mountain Investment Trust (“HMIT”), Beacon Mountain LLC (“Beacon Mountain”), Rand Advisors LLC (“Rand Advisors”), Rand PE Fund I, LP (“Rand PE Fund”), Rand PE Fund Management, LLC (“Rand Management”), Atlas IDF, LP (“Atlas IDF”), and Atlas IDF GP, LLC (“Atlas GP”).

2. Highland Capital must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to the restructuring of and transactions related to Charitable DAF Holdco, Ltd., Charitable DAF Fund, LP, Charitable DAF GP, LLC, CDH GP, Ltd., CDMCFAD, LLC, DFW Charitable Foundation, CLO Holdco, LLC.
3. HMIT must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to Mark Patrick’s authority to sign the *Settlement Agreement & General Release* [Dkt. No. 4217-1] on behalf of HMIT, Beacon Mountain, Rand Advisors, Rand PE Fund, Rand Management, Atlas IDF, and Atlas GP.
4. HMIT must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to the restructuring of and transactions related to Charitable DAF Holdco, Ltd., Charitable DAF Fund, LP, Charitable DAF GP, LLC, CDH GP, Ltd., CDMCFAD, LLC, DFW Charitable Foundation, CLO Holdco, LLC.
5. Beacon Mountain must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to Mark Patrick’s authority to sign the *Settlement Agreement & General Release* [Dkt. No. 4217-1] on behalf of HMIT, Beacon Mountain, Rand Advisors, Rand PE Fund, Rand Management, Atlas IDF, and Atlas GP.
6. Beacon Mountain must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to the restructuring of and transactions related to Charitable DAF Holdco, Ltd., Charitable DAF Fund, LP, Charitable DAF GP, LLC, CDH GP, Ltd., CDMCFAD, LLC, DFW Charitable Foundation, CLO Holdco, LLC.
7. Rand Advisors must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its

possession, custody, and control that relate to Mark Patrick's authority to sign the *Settlement Agreement & General Release* [Dkt. No. 4217-1] on behalf of HMIT, Beacon Mountain, Rand Advisors, Rand PE Fund, Rand Management, Atlas IDF, and Atlas GP.

8. Rand Advisors must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to the restructuring of and transactions related to Charitable DAF Holdco, Ltd., Charitable DAF Fund, LP, Charitable DAF GP, LLC, CDH GP, Ltd., CDMCFAD, LLC, DFW Charitable Foundation, CLO Holdco, LLC.
9. Rand PE Fund must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to Mark Patrick's authority to sign the *Settlement Agreement & General Release* [Dkt. No. 4217-1] on behalf of HMIT, Beacon Mountain, Rand Advisors, Rand PE Fund, Rand Management, Atlas IDF, and Atlas GP.
10. Rand PE Fund must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to the restructuring of and transactions related to Charitable DAF Holdco, Ltd., Charitable DAF Fund, LP, Charitable DAF GP, LLC, CDH GP, Ltd., CDMCFAD, LLC, DFW Charitable Foundation, CLO Holdco, LLC.
11. Rand Management must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to Mark Patrick's authority to sign the *Settlement Agreement & General Release* [Dkt. No. 4217-1] on behalf of HMIT, Beacon Mountain, Rand Advisors, Rand PE Fund, Rand Management, Atlas IDF, and Atlas GP.
12. Rand Management must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to the restructuring of and transactions related to Charitable DAF Holdco, Ltd., Charitable DAF Fund, LP, Charitable DAF GP, LLC, CDH GP, Ltd., CDMCFAD, LLC, DFW Charitable Foundation, CLO Holdco, LLC.
13. ValueScope, LLC must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to Mark Patrick's authority to sign the *Settlement Agreement & General Release* [Dkt. No. 4217-1] on

behalf of HMIT, Beacon Mountain, Rand Advisors, Rand PE Fund, Rand Management, Atlas IDF, and Atlas GP.

14. ValueScope, LLC must produce to Dugaboy all documents and communications from May 19, 2025, through February 8, 2026, within its possession, custody, and control that relate to the restructuring of and transactions related to Charitable DAF Holdco, Ltd., Charitable DAF Fund, LP, Charitable DAF GP, LLC, CDH GP, Ltd., CDMCFAD, LLC, DFW Charitable Foundation, CLO Holdco, LLC.
15. All depositions taken by Dugaboy in connection with the Rule 60(b) Motion are limited in scope to Mark Patrick's authority to sign the *Settlement Agreement & General Release* [Dkt. No. 4217-1] on behalf of HMIT, Beacon Mountain, Rand Advisors, Rand PE Fund, Rand Management, Atlas IDF, and Atlas GP and the restructuring of and transactions related to Charitable DAF Holdco, Ltd., Charitable DAF Fund, LP, Charitable DAF GP, LLC, CDH GP, Ltd., CDMCFAD, LLC, DFW Charitable Foundation, CLO Holdco, LLC.

The foregoing discovery limitations do not prohibit any party from asserting any responsive objections, including attorney-client and work-product privilege; it is further

ORDERED that, absent agreement between the parties, all discovery herein ordered shall be completed no later than May 1, 2026; *provided, however*, that the parties may take depositions after May 1, 2026, so long as all depositions are completed no later than May 8, 2026; it is further

ORDERED that Highland Capital, CLO Holdco, Ltd. Shields Legal Group, P.C., Kelly Hart & Hallman LP, Kelly Hart & Pitre, HMIT, Beacon Mountain, Rand Advisors, Rand PE Fund, Rand Management, and ValueScope, LLC are not required to respond to any other discovery propounded by Dugaboy in connection with the Rule 60(b) Motion except as stated herein; it is further

ORDERED that the Motion to Quash is granted; and it is finally

ORDERED that this Court retains jurisdiction to interpret and enforce the terms of this Order.

End of Order