

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE**

In re:

Apple Tree Life Sciences, Inc., *et al.*,¹

Debtors.

Chapter 11

Case No. 25-12177 (LSS)

(Jointly Administered)

NOTICE OF FILING OF LISTS OF EQUITY HOLDERS

PLEASE TAKE NOTICE that, pursuant to rule 1007(a)(3) of the Federal Rules of Bankruptcy Procedure, above-captioned debtors and debtors in possession (the “Debtors”) have today filed their Lists of Equity Holders² with the United States Bankruptcy Court for the District of Delaware, 824 North Market Street, Wilmington Delaware 19801, as follows:

- (a) The List of Equity Holders for Debtor Apple Tree Life Sciences, Inc. is attached hereto as **Exhibit A**.
- (b) The List of Equity Holders for Debtor ATP Life Science Ventures, L.P. is attached hereto as **Exhibit B**.
- (c) The List of Equity Holders for Debtor ATP III GP, Ltd. is attached hereto as **Exhibit C**.
- (d) The List of Equity Holders for Debtor Apertor Pharmaceuticals, Inc. is attached hereto as **Exhibit D**.
- (e) The List of Equity Holders for Debtor Initial Therapeutics, Inc. is attached hereto as **Exhibit E**.
- (f) The List of Equity Holders for Debtor Marlinspike Therapeutics, Inc. is attached hereto as **Exhibit F**.
- (g) The List of Equity Holders for Debtor Red Queen Therapeutics, Inc. is attached Hereto as **Exhibit G**.

¹ The Debtors in these chapter 11 cases, along with the last four digits of each Debtor’s federal tax identification number include: Apple Tree Life Sciences, Inc. (4506); ATP Life Science Ventures, L.P. (8224); ATP III GP, Ltd. (6091); Apertor Pharmaceuticals, Inc. (3161); Initial Therapeutics, Inc. (2453); Marlinspike Therapeutics, Inc. (4757); and Red Queen Therapeutics, Inc. (8563). The location of the Debtors’ service address in these chapter 11 cases is 230 Park Avenue, Suite 2800, New York, NY 10169.

² Pursuant to the relief sought in the *Debtors’ Motion for Entry of an Order (I) Authorizing Redaction of Certain Personally Identifiable Information Within the Consolidated List of Creditors and Other Filings and (II) Granting Related Relief* [Docket No. 21], personally identifiable information has been redacted but will be shared with the Office of the United States Trustee for the District of Delaware, the Debtors’ claims agent, and other parties in interest upon reasonable request or as ordered.



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Dated: December 23, 2025
Wilmington, Delaware

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Respectfully submitted,

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Proposed Counsel to the Debtors and Debtors in Possession

EXHIBIT A

Apple Tree Life Sciences, Inc. List of Equity Holders

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
ATP Life Science Ventures, L.P. 230 Park Avenue, Suite 2800 New York, NY 10169	Common Stock	100%

EXHIBIT B**ATP Life Science Ventures, L.P. List of Equity Holders**

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
Marie-Therese Afif [ADDRESS ON FILE]	Pool V-1 Profit Interest	0.05%
	Pool V-2 Profit Interest	0.05%
Rob Armstrong [ADDRESS ON FILE]	Pool IV Common Units	2,118
Anna Batarina [ADDRESS ON FILE]	Pool IV Common Units	36,492
	Pool V-1 Profit Interest	2.00%
	Pool V-2 Profit Interest	2.00%
	Pool V-3 Profit Interest	2.06%
	Pool BB Profit Interest	3.00%
Stephen G. Dance [ADDRESS ON FILE]	Pool IV Common Units	1,985
Paul Eisenberg [ADDRESS ON FILE]	Pool IV Common Units	15,882
	Pool V-3 Profit Interest	0.50%
William Engels [ADDRESS ON FILE]	Pool V-1 Profit Interest	0.05%
	Pool V-2 Profit Interest	0.05%

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
Julie A. Gionfriddo [ADDRESS ON FILE]	Pool IV Common Units	3,038
Samuel Hall [ADDRESS ON FILE]	Pool IV Common Units	18,394
Hamade Family Trust dated June 18, 2003 [ADDRESS ON FILE]	Pool IV Common Units	19,350
Seth L. Harrison [ADDRESS ON FILE]	Pool IV Preferred Units	6,177
	Pool IV Common Units	50,762
	Pool V-1 Profit Interest	3.00%
	Pool V-2 Profit Interest	3.00%
	Pool V-3 Capital Interest	0.45%
	Pool V-3 Profit Interest	5.13%
	Pool BB Profit Interest	4.50%
Sally Jacob [ADDRESS ON FILE]	Pool V-1 Profit Interest	0.10%
	Pool V-2 Profit Interest	0.10%
Aaron Kantoff [ADDRESS ON FILE]	Pool IV Common Units	18,394
The Lauren A. Farrell Trust dated May 18, 2018 [ADDRESS ON FILE]	Pool IV Common Units	7,544
Les Pommes LLC c/o Apple Tree Ventures Management LLC	Pool IV Preferred Units	6,947

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
230 Park Avenue, Suite 2800 New York, NY 10169	Pool IV Common Units	54,585
	Pool V-1 Profit Interest	4.50%
	Pool V-2 Profit Interest	4.50%
	Pool V-3 Capital Interest	0.51%
	Pool V-3 Profit Interest	5.56%
David McIntyre [ADDRESS ON FILE]	Pool IV Common Units	18,265
Rigmora Biotech Investor One LP 3076, Sir Francis Drakes Highway Road Town Tortola, British Virgin Islands With copy to: Elias Neocleous & Co LLC Attn: Adonis Zachariou Neocleous House 195 Makarios III Avenue 1-5th, Limassol CY-3030 Cyprus	Pool IV Preferred Units	391,767
	Pool IV Common Units	385,467
	Pool V-1 Capital Interest	49.00%
	Pool V-1 Profit Interest	44.20%
	Pool V-2 Capital Interest	49.00%
	Pool V-2 Profit Interest	44.20%
	Pool V-3 Capital Interest	48.04%
	Pool V-3 Profit Interest	44.26%
	Pool BB Capital Interest	49.00%

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
	Pool BB Profit Interest	47.17%
Rigmora Biotech Investor Two LP 3076, Sir Francis Drakes Highway Road Town Tortola, British Virgin Islands With copy to: Elias Neocleous & Co LLC Attn: Adonis Zachariou Neocleous House 195 Makarios III Avenue 1-5th, Limassol CY-3030 Cyprus	Pool IV Preferred Units	425,114
	Pool IV Common Units	420,347
	Pool V-1 Capital Interest	51.00%
	Pool V-1 Profit Interest	46.00%
	Pool V-2 Capital Interest	51.00%
	Pool V-2 Profit Interest	46.00%
	Pool V-3 Capital Interest	51.00%
	Pool V-3 Profit Interest	41.70%
	Pool BB Capital Interest	51.00%
	Pool BB Profit Interest	45.33%
Jonathan Waldstreicher [ADDRESS ON FILE]	Pool IV Common Units	25,107
	Pool V-3 Profit Interest	0.75%
Danjie (Eva) Zhou [ADDRESS ON FILE]	Pool V-1 Profit Interest	0.10%
	Pool V-2 Profit Interest	0.10%

EXHIBIT C

ATP GP III, Ltd. List of Equity Holders

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
Seth L. Harrison, MD [ADDRESS ON FILE]	Common	100%

EXHIBIT D**Apertor Pharmaceuticals, Inc. List of Equity Holders**

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
ATP Life Science Ventures, L.P. 230 Park Avenue, Suite 2800 New York, NY 10169	Series A Preferred	29,000,000
Samantha Bucktrout [ADDRESS ON FILE]	Common	5,166
Rajesh Chopra [ADDRESS ON FILE]	Common	13,076
Paul Da Silva Jardine [ADDRESS ON FILE]	Common	287,959
Paul Eisenberg [ADDRESS ON FILE]	Common	18,207
Edmund Graziani [ADDRESS ON FILE]	Common	1,164,837
Paul Hawkins [ADDRESS ON FILE]	Common	3,130
Matthew Jacobson [ADDRESS ON FILE]	Common	17,933
Jay D. Keasling Trust, Dated August 5, 2013 [ADDRESS ON FILE]	Common	470,179
Neena Kadaba [ADDRESS ON FILE]	Common	15,000

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
Jay Keasling [ADDRESS ON FILE]	Common	694,648
Spiros Liras [ADDRESS ON FILE]	Common	665,621
Lawrence Lum [ADDRESS ON FILE]	Common	360,242
Ericka Mendez [ADDRESS ON FILE]	Common	55,000
Daniel Nomura [ADDRESS ON FILE]	Common	14,661
Bo Pang [ADDRESS ON FILE]	Common	45,000
Shellwater & Company	Common	118,989
Kevan Shokat [ADDRESS ON FILE]	Common	7,515
Michael White [ADDRESS ON FILE]	Common	38,697

EXHIBIT E**Initial Therapeutics, Inc. List of Equity Holders**

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
ATP Life Science Ventures, L.P. 230 Park Avenue, Suite 2800 New York, NY 10169	Series A Preferred	53,272,000
Danielle Carino [ADDRESS ON FILE]	Common	16,758
Xiaoyue (April) Chen [ADDRESS ON FILE]	Common	25,000
Rajesh Chopra [ADDRESS ON FILE]	Common	18,014
Peter DiLaura [ADDRESS ON FILE]	Common	1,070,796
James H. Doudna Cate [ADDRESS ON FILE]	Common	1,145,171
Michael Ehlers [ADDRESS ON FILE]	Common	18,014
Rachel Green [ADDRESS ON FILE]	Common	40,965
Matthew Jacobson [ADDRESS ON FILE]	Common	185,027
James and Jennifer Doudna Cate Living Trust, Dated January 2, 2014 [ADDRESS ON FILE]	Common	139,785

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
Neena Kadaba [ADDRESS ON FILE]	Common	90,167
Kevan M. Shokat and Deborah Kamali, as Trustees of the Shokat-Kamali Living Trust, Dated May 11, 2012 [ADDRESS ON FILE]	Common	104,839
Ilsa Kirby [ADDRESS ON FILE]	Common	15,000
Spiros Liras [ADDRESS ON FILE]	Common	1,284,956
Stephanie Maiocco [ADDRESS ON FILE]	Common	10,000
Alexander McCampbell [ADDRESS ON FILE]	Common	7,644
Brooke Olson Blair [ADDRESS ON FILE]	Common	31,050
Brian Paegel [ADDRESS ON FILE]	Common	963,717
Phil Patten [ADDRESS ON FILE]	Common	986,998
Margot Paulick [ADDRESS ON FILE]	Common	22,500

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
Kevan Shokat [ADDRESS ON FILE]	Common	858,878
Mohan Sivaraja [ADDRESS ON FILE]	Common	24,512
Sarah Smith [ADDRESS ON FILE]	Common	6,000
Mohit Trikha [ADDRESS ON FILE]	Common	37,254
Fred Ward [ADDRESS ON FILE]	Common	146,139
Mariwil Wong [ADDRESS ON FILE]	Common	12,587
Peiwen Yu [ADDRESS ON FILE]	Common	50,000

EXHIBIT F**Marlinspike Therapeutics, Inc. List of Equity Holders**

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
ATP Life Science Ventures, L.P. 230 Park Avenue, Suite 2800 New York, NY 10169	Series A Preferred	27,725,000
Baylor College of Medicine 1 Baylor Plaza, BCM 225 Houston, TX 77030	Common	486,546
Alessandro Boezio [ADDRESS ON FILE]	Common	7,656
Rajesh Chopra [ADDRESS ON FILE]	Common	193,938
Paul Da Silva Jardine [ADDRESS ON FILE]	Common	236,546
Kristen Karlin [ADDRESS ON FILE]	Common	36,746
Alexander McCampbell [ADDRESS ON FILE]	Common	15,310
Calla Olson [ADDRESS ON FILE]	Common	36,746
Victor Rusu [ADDRESS ON FILE]	Common	17,552
Thomas Westbrook [ADDRESS ON FILE]	Common	678,784

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
Joseph Yanchik [ADDRESS ON FILE]	Common	118,273

EXHIBIT G**Red Queen Therapeutics, Inc. List of Equity Holders**

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
ATP Life Science Ventures, L.P. 230 Park Avenue, Suite 2800 New York, NY 10169	Series A Preferred	27,600,000
Marilynn Bersoff [ADDRESS ON FILE]	Common	1,416,477
Gregory Bird [ADDRESS ON FILE]	Common	199,374
Dana-Farber Cancer Institute, Inc. 450 Brookline Avenue Boston, MA 02215	Common	236,606
Paul Da Silva Jardine [ADDRESS ON FILE]	Common	213,669
Paul Eisenberg [ADDRESS ON FILE]	Common	106,834
Henry Herce [ADDRESS ON FILE]	Common	83,674
Spiros Liras [ADDRESS ON FILE]	Common	19,126
Mark Mitchnick [ADDRESS ON FILE]	Common	695,464
Ravneesh Sachdev [ADDRESS ON FILE]	Common	21,598

Name and Last Known Address of Place of Business of Holder	Kind/Class of Interest	Percent or Number of Interest Held
Namrata Turaga [ADDRESS ON FILE]	Common	33,443
Loren Walensky [ADDRESS ON FILE]	Common	52,632