



# Exhibit A

**Exhibit A**

Allowed General Unsecured Claimants  
Served via Electronic Mail

<b>CreditorName</b>	<b>CreditorNoticeName</b>	<b>Email</b>
Akers and Thompson, LLC	John K. H. Akers	Jakers@akersthompsonlaw.com; fplourde@akers-lawfirm.com
Akers and Thompson, LLC	Kutner Brinen, PC	klr@kutnerlaw.com; fplourde@akers-lawfirm.com
Allstream	Attn Collections	collections@allstream.com
Arapahoe County Treasurer	L. Luebke	LLuebke@Arapahoegov.com
ARCHROCK PARTNERS OPERATING LLC	KEVIN MARAIST	kmaraist@albmlaw.com
ARCHROCK PARTNERS OPERATING LLC	TISHA PERTL-WATHEN	tisha.pertl-wathen@archrock.com; kmaraist@albmlaw.com
Arkansas Auditor of State		kevin.liang@auditor.ar.gov; claimit@auditor.ar.gov
Arkansas Auditor of State	Unclaimed Property Division	holders@auditor.ar.gov
BDO USA, LLP	Attn Jared Schierbaum	jschierbaum@bdo.com
BDO USA, LLP	Attn Laurence W. Goldberg	lgoldberg@bdo.com
Beatty and Wozniak, P.C.	Gary Younger	TJacobs@bwenergylaw.com; GYounger@bwenergylaw.com
Beatty and Wozniak, P.C.	Tyler Weidlich	tweidlich@bwenergylaw.com; TJacobs@bwenergylaw.com; GYounger@bwenergylaw.com
Bison Oil and Gas, LLC	Baker and Hostetler LLP	lfuller@bakerlaw.com; mbarron@bakerlaw.com
Bison Oil and Gas, LLC		jeffrey@carnelianec.com
BOP Republic Plaza I LLC	Amanda Dworak	amanda.dworak@brookfieldproperties.com
Broadridge Financial Solutions	Attn Daniel Martinez	Daniel.Martinez@broadridge.com
CDW Direct, LLC	Attn Ronelle Erickson	roneeri@cdw.com
Compsych Corporation	Robert Mallers CFO	rmallers@compsych.com
Discovery Land Services, LLC		lharroz@discoveryland.com
ENERGYSEC LLC		m.mcpeek@energysecllc.com
Ensign United States Drilling, Inc.	Attn Carl N. Kunz, III, Esq.	ckunz@morrisjames.com
Ensign United States Drilling, Inc.	Ensign Energy Services Inc.	suzanne.davies@ensignenergy.com
FedEx Corporate Services Inc.	FedEx Corporate Services Inc. as Assignee of FedEx Express/Ground/Freight/Office	bankruptcy@fedex.com
GALLEGOS SANITATION		collections@mywasteco.com
GWP West, LLC	A. Noni Holmes Kidd	nholmes-kidd@pky.com; mheld@jw.com
GWP West, LLC	Michael S. Held	mheld@jw.com
Hill and Knowlton Strategies LLC d/b/a Hill+Knowlton Strategies	Chris Heim	chris.heim@hkstrategies.com
Hill and Knowlton Strategies LLC d/b/a Hill+Knowlton Strategies	Hill and Knowlton Strategies LLC	matt.platt@hkstrategies.com
Inception Law PLLC d/b/a Kearney, McWilliams & Davis, PLLC	John J. Davis, III	jdavis@kmd.law

**Exhibit A**

Allowed General Unsecured Claimants

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<b>CreditorName</b>	<b>CreditorNoticeName</b>	<b>Email</b>
Inception Law PLLC d/b/a Kearney, McWilliams & Davis, PLLC	Preston T. Towber/Towber Law Firm PLLC	preston@twoberlaw.com; jdavis@kmd.law
Inception Law, PLLC d/b/a Kearney, McWilliams & Davis, PLLC	John J. Davis, III	jdavis@kmd.law
Inception Law, PLLC d/b/a Kearney, McWilliams & Davis, PLLC	Preston T. Towber	preston@towberlaw.com; jdavis@kmd.law
Inception Law, PLLC d/b/a Kearney, McWilliams & Davis, PLLC	Preston T. Towber/ Towber Law Firm PLLC	prestonlaw@toberlaw.com; jdavis@kmd.law
INTERFACE COMMUNICATIONS COMPANY	GINA FAZIO-CLARK	accounting@interface.cc
J-W Power Company	Miller Mentzer Walker, P.C.	jwalker@milmen.com; ndoyle@milmen.com
J-W Power Company	Stephen M. Miller	smiller@morrisjames.com
Kerr-McGee Oil and Gas Onshore L.P.	McCarter and English LLP	kbuck@mccarter.com; Steven_Messer@oxy.com
Kerr-McGee Oil and Gas Onshore L.P.	Steven Messer	steven_messer@oxy.com
Lewan and Associates, Inc.	Victoria Mares	victoria.mares@xerox.com
MANHARD CONSULTING LTD		wpond@manhard.com
MATRIX LLC		kim@matrixllc.com
MEDIANT COMMUNICTAIONS INC	FINANCE DEPT	tfitzgerald@mediantonline.com
Office of the State Treasurer	Unclaimed Property Division	nvholder@nevadatreasurer.gov
Oklahoma Office of State Treasurer		robert.knight@treasurer.ok.gov
Oklahoma State Treasurer	Unclaimed Property Division	holderinfo@treasurer.ok.gov
OREGON DEPARTMENT OF STATE LANDS	UNCLAIMED PROPERTY PROGRAM	holder@dsl.state.or.us
Oregon Department of State Lands	Unclaimed Property Program	holder@state.or.us
Patterson-UTI Drilling Company, LLC	c/o Dore Rothberg McKay	mbartlett@dorelawgroup.net; Angela.Turner@patenergy.com; Jeff.McNabb@patenergy.com; Lauri.McDonald@patenergy.com; VGarza@dorelaw.com; zmckay@dorelaw.com
Patterson-UTI Drilling Company, LLC	Lauri McDonald, Credit Manager	laurie.mcdonald@patenergy.com; Angela.Turner@patenergy.com; Jeff.McNabb@patenergy.com; Lauri.McDonald@patenergy.com; VGarza@dorelaw.com; zmckay@dorelaw.com
PDB Sports, Ltd. d/b/a the Denver Broncos Football Club	Jeffrey A. Weinman, Esq.	jweinman@weinmanpc.com; whazeltine@sha-llc.com; bsullivan@sha-llc.com

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<b>CreditorName</b>	<b>CreditorNoticeName</b>	<b>Email</b>
PDB Sports, Ltd. d/b/a the Denver Broncos Football Club	PDB SPORTS, LTD. D/B/A DENVER BRONCOS FOOTBALL CLUB AND STADIUM MANAGEMENT COMPANY, LLC	whazeltine@sha-llc.com; bsullivan@sha-llc.com
PDB Sports, Ltd. d/b/a the Denver Broncos Football Club	William D. Sullivan, Esq.	bsullivan@sha-llc.com; whazeltine@sha-llc.com
Platte Energy LLC	Jay McCormick, CPL	jay@platteenergy.com; elizabeth@platteenergy.com;
POLSINELLI PC	ATTN ACCOUNTING DEPT/BANKRUPTCY	DOCKETING@POLSINELLI.COM
Polsinelli PC	Attn Brenna Dolphin, Esq.	bdolphin@polsinelli.com
POLSINELLI PC	GHISLAINE G. TORRES BRUNER	GBRUNER@POLSINELLI.COM
POLSINELLI PC	GHISIAINE G. TORRES BRUNER, ESQ.	gbruner@polsinelli.com
Poudre Valley REA		pvrea@pvrea.coop
POUDRE VALLEY REA		pvrea@pvrea.coop; tlobato@pvrea.coop
POULSON ODELL and PETERSON LLC	ATTENTION ROB WILLIS	rwillis@popllc.com; accounting@popllc.com
Public Service Company, a Colorado Corporation, dba Xcel Energy	Attn Bankruptcy Dept	katie.miller@xcelenergy.com
Reagan Resources, Inc.	William W Reagan	rayguns4@aol.com; willreagan@reaganresources.com; reag.resources@gmail.com
REGENT EQUIPMENT LEASING LTD.	BILL BOWLEN JR	billjr@regentholding.com
RS Energy Group, Inc.	c/o Sprouse Law Firm	msprouse@sprousepllc.com
SHAMROCK DELIVERY INC		emaas@shamrockdelivery.com
SHERMAN AND HOWARD LLC	ATTN ERIC JOHNSON	ejohnson@shermanhoward.com
SRR ROCKIES, LLC	Jake Bailey	lwebb@grayreed.com; jbailey@splitrockres.com
SRR Rockies, LLC	Lydia R. Webb	lwebb@grayreed.com; jbailey@splitrockres.com
State of Nevada Treasurer, Unclaimed Property Division	Cherie McDowell, Management Analyst IV	cmcdowell@nevadatreasurer.gov
State of Wyoming State Treasurers Office		jeff.robertson@wyo.gov
Stengel Hoppe LLP	Matthew David Skeen	jrskeen@skeen-skeen.com; jeff@coloradoenergylaw.com; matt@coloradoenergylaw.com
Stengel Hoppe LLP		jeff@coloradoenergylaw.com; matt@coloradoenergylaw.com
THE GREAT ROCKY MOUNTAIN		rjl@plantscaping.net
Tjornehoj and Hack LLC	Jon Tjornehoj	jon@THLawGroup.com
TRANSCONTINENT OIL COMPANY		pcortese@tcolandservices.com; gland@tcolandservices.com

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<b>CreditorName</b>	<b>CreditorNoticeName</b>	<b>Email</b>
Treasurer of State	Unclaimed Property Division	upreport@tos.iowa.gov; Dustin.McNulty@tos.iowa.gov
Treasurer of State, Iowa	Unclaimed Property Division	upreport@tos.iowa.gov; Dustin.McNulty@tos.iowa.gov
United Power, Inc.		nglaser@unitedpower.com
VINSON & ELKINS L.L.P.	ATTN REBECCA PETEREIT	skuperman@velaw.com; rpetereit@velaw.com; eneuman@velaw.com
VINSON & ELKINS L.L.P.	Vinson Elkins LLP	Collections@velaw.com; skuperman@velaw.com; rpetereit@velaw.com; eneuman@velaw.com
VINSON & ELKINS L.L.P.	Vinson Elkins LLP	skuperman@velaw.com; rpetereit@velaw.com; eneuman@velaw.com
WELBORN SULLIVAN MECK AND TOOLEY, P.C.	ATTN BRIAN S. TOOLEY	btooley@wsmtlaw.com
WELBORN SULLIVAN MECK AND TOOLEY, P.C.	ATTN JOSEPH C. PIERZCHALA	jpierzchala@wsmtlaw.com
WELBORN SULLIVAN MECK AND TOOLEY, P.C.	ATTN JOSEPH C. PIERZCHALA	jpierzchala@wsmtlaw.com; JHoover@wsmtlaw.com; JPierzchala@wsmtlaw.com; BTooley@wsmtlaw.com; SHedrick@wsmtlaw.com
Welborn Sullivan Meck and Tooley, P.C.	Stacie Hedrick	shedrick@wsmtlaw.com
WELBORN SULLIVAN MECK AND TOOLEY, P.C.	Welborn Sullivan Meck and Tooley, PC	shedrick@wsmtlaw.com; JHoover@wsmtlaw.com; JPierzchala@wsmtlaw.com; BTooley@wsmtlaw.com; SHedrick@wsmtlaw.com
Wells Fargo Financial Leasing, Inc.		emily.r.alery@wellsfargo.com; MRifino@McCarter.com
Wyoming State Treasurers Office	Unclaimed Property Division	upreports@wyo.gov

# Exhibit B

## Exhibit B

Allowed General Unsecured Claimants  
Served via First Class Mail

CreditorName	CreditorNoticeName	Address1	Address2	Address3	City	State	Zip	Country
Akers and Thompson, LLC	John K. H. Akers	4700 S. Syracuse Street, Suite 810			Denver	CO	80237	
Akers and Thompson, LLC	Kutner Brinen, PC	Keri L. Riley	1660 Lincoln St, Ste 1850		Denver	CO	80264	
Allstream	Attn Collections	14221 Golf Course Dr, Ste 100			Baxter	MN	56425	
Arapahoe County Treasurer	L. Luebke	5334 S. Prince Street			Littleton	CO	80120	
ARCHROCK PARTNERS OPERATING LLC	KEVIN MARAIST	1001 THIRD ST., SUITE 1			CORPUS CHRISTI	TX	78404	
ARCHROCK PARTNERS OPERATING LLC	TISHA PERTL-WATHEN	9807 KATY FREEWAY, SUITE 100			HOUSTON	TX	77024	
Arkansas Auditor of State		1401 W Capitol Ave, Suite 325			Little Rock	AR	72201	
Arkansas Auditor of State	Unclaimed Property Division	1401 W Capitol Ave, Ste 325			Little Rock	AR	72201	
BALL MORSE LOWE PLLC	Attn Officer or Director	3201 S BERRY ROAD			NORMAN	OK	73072	
BDO USA, LLP	Attn Jared Schierbaum	4250 Lancaster Pike, Suite 120			Wilmington	DE	19805	
BDO USA, LLP	Attn Laurence W. Goldberg	4135 Mendenhall Oaks Parkway, Suite 140			High Point	NC	27265	
Beatty and Wozniak, P.C.	Gary Younger	216 16th Street, Suite 1100			Denver	CO	80202	
Beatty and Wozniak, P.C.	Tyler Weidlich	216 16th Street, Suite 1100			Denver	CO	80202	
Bison Oil and Gas, LLC	Attn Officer or Director	518 17th St Suite 1800			Denver	CO	80202	
Bison Oil and Gas, LLC	Baker and Hostetler LLP	Lars Fuller	1801 California Street Suite 4400		Denver	CO	80202	
BOP Republic Plaza I LLC	Amanda Dworak	1200 Smith Street, Suite 1200			Houston	TX	77002	
Broadridge Financial Solutions	Attn Daniel Martinez	1155 Long Island Avenue			Edgewood	NY	11717	
CARLETON GOTLIN LAW PC	Attn Officer or Director	1580 LINCOLN STREET	SUITE 1200		DENVER	CO	80203	
CDW Direct, LLC	Attn Ronelle Erickson	CDW	200 N Milwaukee Ave		Vernon Hills	IL	60061	
CIBC BANK USA	Attn Officer or Director	120 S LASALLE STREET			CHICAGO	IL	60603	
Compsych Corporation	Robert Mallers CFO	455 N. Cityfront Plaza Dr, 13th Floor			Chicago	IL	60611	
DAVIS GRAHAM & STUBBS LLP	Attn Officer or Director	1550 SEVENTEENTH ST STE 500			DENVER	CO	80202	
DEEP ROCK	Attn Officer or Director	PO BOX 660579			DALLAS	TX	75266-0579	
Discovery Land Services, LLC	Attn Officer or Director	1738 Wynkoop Street, Suite 302			Denver	CO	80202	
DOIDA LAW GROUP LLC	Attn Officer or Director	8480 E ORCHARD ROAD	STE 2000		GREENWOOD VILLAGE	CO	80111	
EMPLOYERS COUNCIL	Attn Officer or Director	PO BOX 539			DENVER	CO	80201-0539	
ENERGYSEC LLC	Attn Officer or Director	PO BOX 471085			AURORA	CO	80047-1085	
Ensign United States Drilling, Inc.	Attn Carl N. Kunz, III, Esq.	Morris James LLP	500 Delaware Avenue, Suite 1500		Wilmington	DE	19801	
Ensign United States Drilling, Inc.	Ensign Energy Services Inc.	Suzanne Davies	400 - 5th Avenue S.W., Suite 1000		Calgary	Alberta	T2P 0L6	Canada
Ensign United States Drilling, Inc.	Ensign Energy Services Inc.	Suzanne Davies	410 17th Street, Suite 1200		Denver	CO	80202	
FEDEX	Attn Officer or Director	PO BOX 94515			PALATINE	IL	60094-4515	
FedEx Corporate Services Inc.	FedEx Corporate Services Inc. as Assignee of FedEx Express/Ground/Freight/Office	3965 Airways Blvd, Module G, 3rd Floor			Memphis	TN	38116-5017	
GALLEGOS SANITATION	Attn Officer or Director	PO BOX 1986			FT. COLLINS	CO	80522	
GWP West, LLC	A. Noni Holmes Kidd	800 N. Magnolia Avenue, Suite 1625			Orlando	FL	32803	
GWP West, LLC	Michael S. Held	Jackson Walker LLP	2323 Ross Ave., Suite 600		Dallas	TX	75202	

## Exhibit B

Allowed General Unsecured Claimants  
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CreditorName	CreditorNoticeName	Address1	Address2	Address3	City	State	Zip	Country
Hill and Knowlton Strategies LLC d/b/a Hill+Knowlton Strategies	Chris Heim	500 West 5th Street, Suite 1000			Austin	TX	78701	
Hill and Knowlton Strategies LLC d/b/a Hill+Knowlton Strategies	Hill and Knowlton Strategies LLC	Matthew Platt	466 Lexington Avenue		New York	NY	10017	
IDENTITY REHAB CORPORATION	Attn Officer or Director	PO BOX 71221			CHARLOTTE	NC	28272-1221	
Inception Law PLLC d/b/a Kearney, McWilliams & Davis, PLLC	John J. Davis, III	55 Waugh, Suite 150			Houston	TX	77007	
Inception Law, PLLC d/b/a Kearney, McWilliams & Davis, PLLC	Preston T. Towber	Towber Law Firm PLLC	55 Waugh #150		Houston	TX	77007	
INTERFACE COMMUNICATIONS COMPANY	GINA FAZIO-CLARK	5400 MOUNT MEEKER ROAD STE A			BOULDER	CO	80301	
IRON MOUNTAIN INC	Attn Officer or Director	PO BOX 915004			DALLAS	TX	75391-5004	
J-W Power Company	Miller Mentzer Walker, P.C.	Julie A. Walker	PO Box 130		Palmer	TX	75152	
J-W Power Company	Stephen M. Miller	Morris James LLP	500 Delaware Avenue, Suite 1500	PO Box 2306	Wilmington	DE	19899-2306	
Kerr-McGee Oil and Gas Onshore L.P.	McCarter and English LLP	Attn Kate Roggio Buck	405 N. King St., 8th Floor		Wilmington	DE	19801	
Kerr-McGee Oil and Gas Onshore L.P.	Steven Messer	Anadarko E and P Onshore LLC	5 Greenway Plaza, Suite 110		Houston	TX	77046	
LAW OFFICE OF KENT HOLLIER PC	Attn Officer or Director	1580 LINCOLN STREET	SUITE 1600		DENVER	CO	80203	
Lewan and Associates, Inc.	Victoria Mares	1400 S Colorado Blvd			Denver	CO	80222	
MANHARD CONSULTING LTD	Attn Officer or Director	ONE OVERLOOK POINT	SUITE 290		LINCOLNSHIRE	IL	60069	
MATRIX LLC	Attn Officer or Director	644 S PERRY STREET			MONTGOMERY	AL	36104	
MCDONALD LAND SERVICES LLC	Attn Officer or Director	PO BOX 590	222 N MAIN		WASHINGTON	OK	73093	
MEDIANT COMMUNICTAIONS INC	FINANCE DEPT	400 REGENCY FOREST DRIVE, SUITE 200			CARY	NC	27518	
NORTHSTAR ENERGY COMPANY LLC	Attn Officer or Director	999 18TH STREET	SUITE 1755N		DENVER	CO	80202	
Office of the State Treasurer	Unclaimed Property Division	555 E Washington Avenue, #4200			Las Vegas	NV	89101-1070	
Oklahoma Office of State Treasurer		9520 N May Ave, LL			Oklahoma City	OK	73120	
Oklahoma State Treasurer	Unclaimed Property Division	9520 N. May Ave., Lower Level			Oklahoma City	OK	73120	
OREGON DEPARTMENT OF STATE LANDS	UNCLAIMED PROPERTY PROGRAM	775 SUMMER STREET NE	SUITE 100		SALEM	OR	97301-1279	
Patterson-UTI Drilling Company, LLC	c/o Dore Rothberg McKay	17171 Park Row, Suite 160			Houston	TX	77084	
Patterson-UTI Drilling Company, LLC	Lauri McDonald, Credit Manager	10713 W. Sam Houston Pkwy, North			Houston	TX	77064	
PDB Sports, Ltd. d/b/a the Denver Broncos Football Club	Jeffrey A. Weinman, Esq.	c/o Weinman and Associates, P.C.	730 17th Street, Suite 240		Denver	CO	80202	
PDB Sports, Ltd. d/b/a the Denver Broncos Football Club	PDB SPORTS, LTD. D/B/A DENVER BRONCOS FOOTBALL CLUB AND STADIUM MANAGEMENT COMPANY, LLC	ATTN JOE ELLIS, PRESIDENT/CEO	13655 BRONCOS PARKWAY		ENGLEWOOD	CO	80112	
PDB Sports, Ltd. d/b/a the Denver Broncos Football Club	William D. Sullivan, Esq.	Sullivan Hazeltine Allinson LLC	919 North Market Street, Suite 420		Wilmington	DE	19801	
Platte Energy LLC	Jay McCormick, CPL	1720 Kaliste Saloom Rd, Ste C-6			Lafayette	LA	70508	
POLSINELLI PC	ATTN ACCOUNTING DEPT/BANKRUPTCY	900 W 48TH PL, SUITE 900			KANSAS CITY	MO	64112	
Polsinelli PC	Attn Brenna Dolphin, Esq.	222 Delaware Avenue Suite 1101			Wilmington	DE	19801	

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POLSINELLI PC	GHISLAINE G. TORRES BRUNER	1401 LAWRENCE STREET, SUITE 2300			DENVER	CO	80202	
POLSINELLI PC	GHISIAINE G. TORRES BRUNER, ESQ.	1401 LAWRENCE STREET SUITE 2300			DENVER	CO	80202	
Poudre Valley REA	Attn Officer or Director	7649 Rea Parkway			Fort Collins	CO	80528	
POUDRE VALLEY REA	Attn Officer or Director	PO BOX 272550			FORT COLLINS	CO	80527-2550	
POUDRE VALLEY REA	Attn Officer or Director	PO BOX 712389			DENVER	CO	80271-2389	
POULSON ODELL and PETERSON LLC	ATTENTION ROB WILLIS	1660 LINCOLN STREET, SUITE 1500			DENVER	CO	80264	
Public Service Company, a Colorado Corporation, dba Xcel Energy	Attn Bankruptcy Dept	PO Box 9477			Minneapolis	MN	55484	
Reagan Resources, Inc.	William W Reagan	PO Box 57433			Oklahoma City	OK	73157	
REGENT EQUIPMENT LEASING LTD.	BILL BOWLEN JR	SUITE 201, 10430-61 AVE NW			EDMONTON	AB	T6H 2J3	CANADA
RISE BROADBAND	Attn Officer or Director	PO BOX 844580			BOSTON	MA	02284	
ROYAL SIGNS INC	Attn Officer or Director	4720 S. SANTA FE CIRCLE, UNIT 4			ENGLEWOOD	CO	80110	
RS Energy Group, Inc.	c/o Sprouse Law Firm	901 Mopac Expressway South	Building 1, Suite 300		Austin	TX	78746	
SHAMROCK DELIVERY INC	Attn Officer or Director	7302 S ALTON WAY, UNIT 4F			CENTENNIAL	CO	80112	
SHERMAN AND HOWARD LLC	ATTN ERIC JOHNSON	633 17TH STREET, STE 3000			DENVER	CO	80202	
SRR ROCKIES, LLC	Jake Bailey	Split Rock Resources	1751 River Run, Suite 200		Fort Worth	TX	76107	
SRR Rockies, LLC	Lydia R. Webb	Gray Reed McGraw LLP	1601 Elm Street, Suite 4600		Dallas	TX	75201	
State of Nevada Treasurer, Unclaimed Property Division	Cherie McDowell, Management Analyst IV	555 E Washington Ave, Suite 5200			Las Vegas	NV	89101	
State of Wyoming State Treasurers Office		Herschler Building East	122 West 25th Street, Suite E500		Cheyenne	WY	82002	
Stengel Hoppe LLP	Attn Officer or Director	3900 Mexico Ave Suite 300			Denver	CO	80210	
Stengel Hoppe LLP	Matthew David Skeen	217 E. 7th Ave.			Denver	CO	80203	
THE GREAT ROCKY MOUNTAIN	Attn Officer or Director	11919 W 170 FRONTAGE RD, NO 101			WHEATRIDGE	CO	80033	
Tjornehoj and Hack LLC	Jon Tjornehoj	636 Coffman Street, Suite 301			Longmont	CO	80501	
TOWN OF GROVER	Attn Officer or Director	PO BOX 57			GROVER	CO	80729	
TRANSCONTINENT OIL COMPANY	Attn Officer or Director	1600 STOUT STREET STE 610			DENVER	CO	80202	
Treasurer of State	Unclaimed Property Division	PO Box 10430			Des Moines	IA	50306-0430	
Treasurer of State, Iowa	Unclaimed Property Division	321 E 12th Street			Des Moines	IA	50319	
Treasurer of State, Iowa	Unclaimed Property Division	PO Box 10430			Des Moines	IA	50306-0430	
United Power, Inc.	Attn Officer or Director	500 Cooperative Way			Brighton	CO	80603	
UTILITY NOTIFICATION CENTER OF VERTICAL AVTV	Attn Officer or Director	16361 TABLE MOUNTAIN PARKWAY			GOLDEN	CO	80403	
VERTICAL AVTV	Attn Officer or Director	PO BOX 672137			MARIETTA	GA	30006	
VINSON & ELKINS L.L.P.	ATTN REBECCA PETEREIT	2001 ROSS AVENUE, STE. 3900			DALLAS	TX	75201	
VINSON & ELKINS L.L.P.	Vinson Elkins LLP	PO Box 301019			Dallas	TX	75303-1019	
VINSON & ELKINS L.L.P.	Vinson Elkins LLP	Shay S. Kuperman, Partner	1001 Fannin Street, Suite 2500		Houston	TX	77002	
WELBORN SULLIVAN MECK AND TOOLEY, P.C.	ATTN BRIAN S. TOOLEY	1125 17TH STREET, SUITE 2200			DENVER	CO	80202	
WELBORN SULLIVAN MECK AND TOOLEY, P.C.	ATTN JOSEPH C. PIERZCHALA	1125 17TH STREET, SUITE 2200			DENVER	CO	80202	

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CreditorName	CreditorNoticeName	Address1	Address2	Address3	City	State	Zip	Country
Welborn Sullivan Meck and Tooley, P.C.	Stacie Hedrick	1125 - 17th Street, Suite 2200			Denver	CO	80202	
WELBORN SULLIVAN MECK AND TOOLEY, P.C.	Welborn Sullivan Meck and Tooley, PC	Stacie Hedrick	1125 - 17th Street, Suite 2200		Denver	CO	80202	
Wells Fargo Financial Leasing, Inc.	Attn Officer or Director	800 Walnut St.	MAC F0005-055		Des Moines	IA	50309	
Wyoming State Treasurers Office	Unclaimed Property Division	Herschler Building East	122 W. 25th St., Ste. E300		Cheyenne	WY	82002	

# Exhibit C



222 N. Pacific Coast Hwy 310.823.9000 PHONE  
Suite 300 kccllc.com  
El Segundo, CA 90245

## **GENERAL UNSECURED CLAIM REGISTRATION FORM**

**REQUIRED REGISTRATION INFORMATION:** Please be advised that on December 23, 2020, the Bankruptcy Court entered an order confirming the Sixth Amended Joint Plan of Reorganization of Extraction Oil & Gas, Inc. and Its Debtor Affiliates Pursuant to Chapter 11 of the Bankruptcy Code (the “Plan”). Pursuant to the terms of the Plan, each holder of Allowed Class 6 General Unsecured Claims will receive its pro rata share of New Common Shares, which will be calculated based on a ratio of 0.00679122 New Common Shares per \$1.00 of General Unsecured Claims that such holder holds.

You are being served with this registration form because you have an Allowed Class 6 General Unsecured Claim. In order to facilitate allocation of the New Common Shares, please indicate below (i) your brokerage account information or (ii) if you do not have a brokerage account, the name and address as you would like it to be reflected in Extraction Oil & Gas’s books and records for registration of the New Common Shares, and provide a copy of IRS W-9 tax form.

In order to receive New Common Shares in respect of your General Unsecured Claim please return this form with your brokerage or registration information and W-9 tax form by **April 2, 2021**.

If you fail to provide your brokerage or registration information and W-9 tax form by July 19, 2021, such distribution of New Common Shares will be deemed to be unclaimed property and will revert back to the Debtors.

### **Brokerage Account Information**

Brokerage Firm Name: \_\_\_\_\_

Brokerage Firm DTC Participant No.: \_\_\_\_\_

Account Name: \_\_\_\_\_

Account Number: \_\_\_\_\_

### **Entity or Person to Receive New Common Shares**

**Please indicate on the lines provided below the registration name of the Eligible GUC Offeree receiving New Common Shares in whose name the such New Common Shares should be issued, in the event that the New Common Shares are not DTC eligible or you do not have an account with a brokerage firm (it is strongly recommended that the below information be typed to ensure that it is legible):**

Name (Maximum 35 Characters): \_\_\_\_\_

Attention (Maximum 35 Characters) \_\_\_\_\_

Address 1 (Maximum 35 Characters) \_\_\_\_\_

Address Line 2 (Maximum 35 Characters): \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

FOREIGN Country Name: \_\_\_\_\_

US Tax ID/EIN: \_\_\_\_\_ OR Check here if non-US (no TIN)

Please email, mail or deliver this registration form, together with a completed W-9 tax form, to:

KCC LLC  
222 North Pacific Coast Highway, Suite 300  
El Segundo, CA 90245-5614  
Attn: XOG GUC Registration  
Tel#s: 866-571-1791 (Toll-Free) or 781-575-2049 (International)  
Email: XOGInfo@kccllc.com

# Exhibit D

Form **W-9**  
(Rev. October 2018)  
Department of the Treasury  
Internal Revenue Service

## Request for Taxpayer Identification Number and Certification

**Give Form to the  
requester. Do not  
send to the IRS.**

▶ Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

<b>Print or type.</b> See Specific Instructions on page 3.	<b>1</b> Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.		
	<b>2</b> Business name/disregarded entity name, if different from above		
	<b>3</b> Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only <b>one</b> of the following seven boxes.		<b>4</b> Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
	<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate		Exempt payee code (if any) _____
	<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ <b>Note:</b> Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.		Exemption from FATCA reporting code (if any) _____
	<input type="checkbox"/> Other (see instructions) ▶ _____		<i>(Applies to accounts maintained outside the U.S.)</i>
	<b>5</b> Address (number, street, and apt. or suite no.) See instructions.		Requester's name and address (optional)
<b>6</b> City, state, and ZIP code			
<b>7</b> List account number(s) here (optional)			

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

<b>Social security number</b>									
-				-					
<b>or</b>									
<b>Employer identification number</b>									
-									

### Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

<b>Sign Here</b>	Signature of U.S. person ▶	Date ▶
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## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

**Note:** If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

**Foreign person.** If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*).

**Nonresident alien who becomes a resident alien.** Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

## Backup Withholding

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

**Payments you receive will be subject to backup withholding if:**

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the instructions for Part II for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships*, earlier.

## What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

## Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

**Note: ITIN applicant:** Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.

c. **Partnership, LLC that is not a single-member LLC, C corporation, or S corporation.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

### Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

### Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

IF the entity/person on line 1 is a(n) . . .	THEN check the box for . . .
• Corporation	Corporation
• Individual • Sole proprietorship, or • Single-member limited liability company (LLC) owned by an individual and disregarded for U.S. federal tax purposes.	Individual/sole proprietor or single-member LLC
• LLC treated as a partnership for U.S. federal tax purposes, • LLC that has filed Form 8832 or 2553 to be taxed as a corporation, or • LLC that is disregarded as an entity separate from its owner but the owner is another LLC that is not disregarded for U.S. federal tax purposes.	Limited liability company and enter the appropriate tax classification. (P= Partnership; C= C corporation; or S= S corporation)
• Partnership	Partnership
• Trust/estate	Trust/estate

### Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

#### Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt payees 1 through 5 <sup>2</sup>
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

<sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

**Exemption from FATCA reporting code.** The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B—The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G—A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I—A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K—A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

**Note:** You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

### Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

### Line 6

Enter your city, state, and ZIP code.

## Part I. Taxpayer Identification Number (TIN)

**Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note:** See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at [www.SSA.gov](http://www.SSA.gov). You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/Businesses](http://www.irs.gov/Businesses) and clicking on Employer Identification Number (EIN) under Starting a Business. Go to [www.irs.gov/Forms](http://www.irs.gov/Forms) to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to [www.irs.gov/OrderForms](http://www.irs.gov/OrderForms) to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note:** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

## Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

**Signature requirements.** Complete the certification as indicated in items 1 through 5 below.

**1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.**

You must give your correct TIN, but you do not have to sign the certification.

**2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.**

You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

**What Name and Number To Give the Requester**

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
b. So-called trust account that is not a legal or valid trust under state law	The actual owner <sup>1</sup>
6. Sole proprietorship or disregarded entity owned by an individual	The owner <sup>3</sup>
7. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity <sup>4</sup>
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee

For this type of account:	Give name and EIN of:
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

\*Note: The grantor also must provide a Form W-9 to trustee of trust.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

**Secure Your Tax Records From Identity Theft**

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

**Protect yourself from suspicious emails or phishing schemes.**

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to [phishing@irs.gov](mailto:phishing@irs.gov). You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at [spam@uce.gov](mailto:spam@uce.gov) or report them at [www.ftc.gov/complaint](http://www.ftc.gov/complaint). You can contact the FTC at [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft) or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see [www.IdentityTheft.gov](http://www.IdentityTheft.gov) and Pub. 5027.

Visit [www.irs.gov/IdentityTheft](http://www.irs.gov/IdentityTheft) to learn more about identity theft and how to reduce your risk.

## Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.